



## **Retail Account Application**

### **IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT**

---

Shares of the Fund have not been registered for sale outside the U.S. The fund generally does not sell shares to investors residing outside the U.S., even if they are U.S. citizens or lawful permanent residents, except to investors with U.S. military APO (Army Post Office) or FPO (Fleet Post Office) addresses.

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.

What this means for you:

When you open an account, we will ask your name, address, date of birth and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents. For certain entities, such as trusts, estates, corporations, partnerships, or other organizations, identifying documentation is also required. Your account may be restricted and/or closed if we cannot verify this information. We will not be responsible for any losses or damages (including but not limited to lost opportunities and adverse tax consequences) resulting from any failure to provide this information, or from any restriction placed upon, or closing of, your account. By opening an account, you signify you agree to these procedures and accept responsibility for any losses or damages resulting from their implementation.



**2 Fund Selection** If you wish to invest in more than one Fund, be sure to indicate the amount you wish to invest in each Fund. Please make check payable to the Sterling Capital Funds. Refer to the prospectus for acceptable forms of payment and minimum initial investment amounts. If no share class is selected, you will automatically be invested in the Class A Shares. You may invest only in a Fund for which you have a current prospectus.

	<b>Select a Share Class</b>		<b>Amount</b>		
	<b>A</b>	<b>C</b>			
Select Equity Fund (010, 210).....	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	or _____	%
Mid Value Fund (012, 212).....	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	or _____	%
Small Value Fund (015, 215).....	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	or _____	%
International Fund (020, 220).....	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	or _____	%
Special Opportunities Fund (021, 221).....	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	or _____	%
Equity Income Fund (022, 222).....	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	or _____	%
Equity Index Fund (023, 223).....	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	or _____	%
Short-Term Bond Fund (040).....	<input type="checkbox"/>		\$ _____	or _____	%
Intermediate U.S. Government Fund (041, 241).....	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	or _____	%
Total Return Bond Fund (042, 242).....	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	or _____	%
Kentucky Intermediate Tax-Free Fund (043).....	<input type="checkbox"/>		\$ _____	or _____	%
Maryland Intermediate Tax-Free Fund (044).....	<input type="checkbox"/>		\$ _____	or _____	%
North Carolina Intermediate Tax-Free Fund (045).....	<input type="checkbox"/>		\$ _____	or _____	%
South Carolina Intermediate Tax-Free Fund (046).....	<input type="checkbox"/>		\$ _____	or _____	%
Virginia Intermediate Tax-Free Fund (047).....	<input type="checkbox"/>		\$ _____	or _____	%
West Virginia Intermediate Tax-Free Fund (048).....	<input type="checkbox"/>		\$ _____	or _____	%
Prime Money Market Fund (050, 250).....	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	or _____	%
U.S. Treasury Money Market Fund (051, 251).....	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	or _____	%
National Tax-Free Money Market Fund (052).....	<input type="checkbox"/>		\$ _____	or _____	%
Strategic Allocation Conservative Fund (060, 260).....	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	or _____	%
Strategic Allocation Balanced Fund (061, 261).....	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	or _____	%
Strategic Allocation Growth Fund (062, 262).....	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	or _____	%
Strategic Allocation Equity Fund (063, 263).....	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	or _____	%
Other.....	<input type="checkbox"/>	<input type="checkbox"/>	\$ _____	or _____	%
	<b>Total</b>		<b>\$ _____</b>	<b>or _____</b>	<b>100%</b>

### 3 Account Options

**A. Rights of Accumulation** (Class A Shares only. See the prospectus for qualifications.)

A family member or I own shares of more than one Fund in Sterling Capital Funds, which may entitle me to a reduced sales charge. The shareholder account numbers are:

Fund Name _____	Fund Name _____	Fund Name _____
Account # _____	Account # _____	Account # _____

**B. Letter of Intent** (Class A Shares only.)

I understand that through accumulated investments I can reduce my sales charges as outlined in the prospectus. I plan to invest over a 13-month period in shares of one or more of the funds in the Sterling Capital Funds (except the Prime Money Market Fund and U.S. Treasury Money Market fund) an aggregate amount of at least:

\$50,000       \$100,000       \$250,000       \$500,000       \$1,000,000

**C. Sales Charge Waivers** (See current prospectus under "Sales Charge Waivers" for qualified purchaser types.)

Qualified Purchaser Category and Explanation \_\_\_\_\_

**D. Telephone Redemption and Exchange** (If left blank, you will automatically receive telephone privileges.)

I elect the telephone privileges as described in the prospectus.       Yes       No

**E. Banking Services**

For your convenience, you may authorize Sterling Capital Funds to transfer funds between your bank account and your Sterling Capital Funds account. We will establish your banking instructions using the investment check you submitted. However, if you wish to establish banking instructions with another bank account, please provide a preprinted voided check or alternate banking instructions. Note: One or more of the name(s) in the Bank Registration must match the name(s) in the Account Registration in Section 1 of this application. I authorize you to establish banking services.  Yes       No



**J. Systematic Exchange Program** (A and C Shares only) You may use the Fund's Systematic Exchange feature to purchase shares at regular intervals by exchanging shares for one Sterling Capital Fund for shares of the same class of another Sterling Capital Fund. Keep a minimum of \$10,000 in your Sterling Capital Funds account and \$1,000 in the Fund whose shares you are buying.

Beginning \_\_\_\_\_, I would like to have the amounts indicated below withdrawn from my Sterling Capital Fund and invested into the  
month / year

selected fund(s) below on the following day(s)\*\* \_\_\_\_\_ and \_\_\_\_\_ as follows:  
day day

From: Fund Name \_\_\_\_\_ Acct. Number (or New) \_\_\_\_\_ Amount \$ \_\_\_\_\_

To: Fund Name \_\_\_\_\_ Acct. Number (or New) \_\_\_\_\_ Amount \$ \_\_\_\_\_

- Bi-Monthly
- Monthly
- Quarterly
- Semi-Annually
- Annually

**\*\*If you do not indicate a frequency, we will exchange assets monthly. If you do not specify a day of the month, we will schedule your exchange on the 15th.**

**K. Duplicate Statements & Confirmations** (Unless indicated, duplicate statements and confirmations will be sent to the address below.)

Please send duplicate  statements and/or  confirmations to:

Name	Company		
Address	City	State	Zip

**4 Your Signature** (All registered shareholders must sign.)

I have received and read the current prospectus(es) and privacy notice for the Fund(s) selected, and this Account Registration Form, and agree to be bound by their terms.

I certify under penalties of perjury that:

- 1) The taxpayer identification number shown on this application is correct (or I am waiting for a number to be issued to me); and
- 2) I am NOT subject to backup withholding because I have not been notified by the IRS that I am subject to backup withholding as a result of failure to report all interest and dividends. (CROSS OUT the word "NOT" above if you have received IRS notification.); and
- 3) I am a U.S. Person (including a U.S. Resident alien).

The IRS does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

BY SIGNING BELOW, I REPRESENT THAT I HAVE READ THE TERMS AND CONDITIONS GOVERNING THIS ACCOUNT AND AGREE TO BE BOUND BY SUCH TERMS AND CONDITIONS AS ARE CURRENTLY IN EFFECT AND AS MAY BE AMENDED FROM TIME TO TIME, AND I ACKNOWLEDGE THAT I HAVE READ AND UNDERSTAND THE DISCLOSURE WITH RESPECT TO NON-DEPOSIT INVESTMENT PRODUCTS AT THE INTRODUCTION TO THIS AGREEMENT.

**Householding:** In the event that family members in my household have multiple accounts in a single fund, I agree that Sterling Capital Funds may send a single copy of that fund's updated prospectus, annual or semiannual report that is required to be delivered. I agree to notify Sterling Capital Funds by phone, in writing or by email if I wish to stop householding, and receive multiple copies of these materials.

X \_\_\_\_\_  
 Signature Date

X \_\_\_\_\_  
 Signature Date

X \_\_\_\_\_  
 Signature Date

X \_\_\_\_\_  
 Signature Date

**Notice Regarding Unclaimed Property:** If no activity occurs in your account within the time period specified by applicable law, your property may be transferred to the appropriate state.

**BANK, BROKER-DEALER USE ONLY**

Broker/Dealer Name: _____	Broker/Dealer #: _____
Branch Name: _____	Branch #: _____
Rep. Name: _____	Rep. #: _____